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060404

PTO/SB/82 (09-03)

Approved for use through 11/30/2005. OMB 0651-0035

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**REVOCATION OF POWER OF  
ATTORNEY WITH  
NEW POWER OF ATTORNEY  
AND  
CHANGE OF CORRESPONDENCE ADDRESS**

Application Number	09/160,635
Filing Date	September 24, 1998
First Named Inventor	Vernon G. WONG
Art Unit	1617
Examiner Name	
Attorney Docket Number	17652-CON(OCU)

I hereby revoke all previous powers of attorney given in the above-identified application.

☒ A Power of Attorney is submitted herewith.

OR

☐ I hereby appoint the practitioners associated with the Customer Number:

☐ Please change the correspondence address for the above-identified application to:

☐ The address associated with  
Customer Number:

OR

<input checked="" type="checkbox"/> Firm or Individual Name	Allergan, Inc.				
Address	Stephen Donovan, Esq.				
Address	2525 Dupont Dr., Mailstop T1-7H				
City	Irvine	State	CA	Zip	92612
Country	U.S.				
Telephone	714-246-4026	Fax	714-246-4249		

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

**SIGNATURE of Applicant or Assignee of Record**

Name	Martin A. Voet, Assistant Secretary		
Signature			
Date	7-2-04	Telephone	714-246-5894

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☐ \*Total of \_\_\_\_\_ forms are submitted.

This collection of information is required by 37 CFR 1.36. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



PTO/SB/81 (09-03)

Approved for use through 11/30/2005. OMB 0651-0035

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**POWER OF ATTORNEY  
and  
CORRESPONDENCE ADDRESS  
INDICATION FORM**

Application Number	09/160,635
Filing Date	September 24, 1998
First Named Inventor	Vernon G. WONG
Title	Improved Formulation for Con..
Art Unit	1617
Examiner Name	
Attorney Docket Number	17652-CON (OCU)

I hereby appoint:

☐ Practitioners associated with the Customer Number:

OR

☒ Practitioner(s) named below:

Name	Registration Number
Robert Baran	25,806
Stephen Donovan	33,433
Martin A. Voet	25,208
Carlos A. Fisher	36,510

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please recognize or change the correspondence address for the above-identified application to:

☐ The address associated with the above-mentioned Customer Number:

OR

☐ The address associated with Customer Number:

OR

<input checked="" type="checkbox"/> Firm or Individual Name	Allergan, Inc.				
Address	Stephen Donovan, Esq.				
Address	2525 Dupont Dr., Mailstop T2-7H				
City	Irvine	State	CA	Zip	92612
Country	U.S.				
Telephone	714-246-4026	Fax	714-246-4249		

I am the:

☐ Applicant/Inventor.☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)**SIGNATURE of Applicant or Assignee of Record**

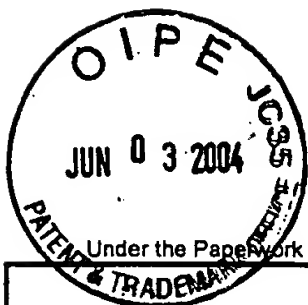
Name	Martin Voet		
Signature			
Date	June 2, 2004	Telephone	714-246-5894

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☐ \*Total of \_\_\_\_\_ forms are submitted.

This collection of information is required by 37 CFR 1.31 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Vernon WONG et al.Application No./Patent No.: 09/160,635 Filed/Issue Date: September 24, 1998Entitled: Improved Formulation for Controlled Release of Drugs by Combining Hydrophilic and Hydrophobic AgentsAllergan, Inc., a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or2. ☐ an assignee of less than the entire right, title and interest.

The extent (by percentage) of its ownership interest is \_\_\_\_\_ %

in the patent application/patent identified above by virtue of either:

A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:1. From: Vernon Wong and Frank Kochinke To: Oculex Pharmaceuticals, Inc.The document was recorded in the United States Patent and Trademark Office at  
Reel 7616, Frame 0891, or for which a copy thereof is attached.2. From: Oculex Pharmaceuticals, Inc.To: Allergan, Inc. (Recordation of Assignment submitted on 1/09/04; Notice of Recordation not yet received from USPTO)The document was recorded in the United States Patent and Trademark Office at  
Reel Pending, Frame Pending, or for which a copy thereof is attached.

3. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.☐ Additional documents in the chain of title are listed on a supplemental sheet.☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

June 2, 2004

Date

714-246-5894

Telephone number

Martin A. Voet

Typed or printed name

Signature

Assistant Secretary

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

A-60179/B  
OCVUX



17652-CON1  
**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office**

ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

JANUARY 22, 1996

BERTRAM I. ROWLAND  
FLEHR, HOHBACH, TEST ET AL.  
SUITE 3400  
FOUR EMBARCADERO CENTER  
SAN FRANCISCO, CA 94111-4187



\*100063128A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, NORTH TOWER BUILDING, SUITE 10C35, WASHINGTON, D.C. 20231.

RECORDATION DATE: 08/07/1995

REEL/FRAME: 7616/0891  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
WONG, VERNON

DOC DATE: 06/25/1995

ASSIGNOR:  
KOCHINKE, FRANK

DOC DATE: 06/09/1995

ASSIGNEE:  
OCULEX PHARMACEUTICAL, INC.  
3180 PORTER DRIVE, BLDG. 1  
PALO ALTO, CALIFORNIA 94304

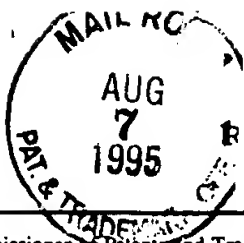
SERIAL NUMBER: 08459134  
PATENT NUMBER:

FILING DATE: 06/02/1995  
ISSUE DATE:

STEVEN POST, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS



FORM PTO-1595  
1-31-92



09-18-1995



100063128

ET

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
Vernon Wong  
Frank Kochinke

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of Conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Execution Date: June 9th & 25th, 1995

2. Name and address of receiving party(ies):

Name: Oculex Pharmaceutical, Inc.

Internal Address: \_\_\_\_\_

Street Address: 3180 Porter Drive, Bldg. 1

City: Palo Alto

State: CA Zip: 94304

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)  
08/459,134

B. Patent No.(s)

15709 U.S. PTO



09/18/95

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Bertram I. Rowland, Ph.D.

Internal Address: FLEHR, HOHBACH, TEST,  
ALBRITTON & HERBERT

Street Address: SUITE 3400  
FOUR EMBARCADERO CENTER

City: SAN FRANCISCO

State: CA Zip: 94111-4187

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41):.....\$40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number: 06-1300  
Please debit any underpayment or credit any overpayment to the above deposit account.

Our Order No. \_\_\_\_\_

(Attach duplicate of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Pamela J. Sherwood  
Name of Person Signing

Pamela J. Sherwood  
Signature

210 BB 08/16/95 08459134  
1 581 40.00 CK

4 August 1995  
Date

Total number of pages including cover sheet, attachments and document: [ 3 ]

OMB No. 0651-0011 (exp. 4/94)

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Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks  
Box Assignments  
Washington, DC 20231

File No. A-60179/BTR OCUT.-006

Rev. 8/93

ASSIGNMENT  
(NOT ACCOMPANYING APPLICATION)

WHEREAS, the undersigned,

(1) VERNON WONG, (2) FRANK KOCHINKE,

(hereinafter termed "Inventors"), residents of

(1) Rockville, (2) San Jose,

respectively, Counties of

(1) Montgomery, (2) Santa Clara,

respectively, States of

(1) Maryland, (2) California,

respectively, have invented certain new and useful improvements in

IMPROVED FORMULATION FOR CONTROLLED RELEASE OF DRUGS BY COMBINING HYDROPHILIC  
AND HYDROPHOBIC AGENTS

and have executed an application for a United States patent disclosing and identifying the invention on the \_\_\_\_\_ day of \_\_\_\_\_, 19\_\_\_\_; and having Serial No. 08/459,134 and filing date of June 02, 1995; and

WHEREAS, OCULEX PHARMACEUTICALS, INC.

a corporation of the State of California, having a place of business at 3180 Porter Drive, Bldg. 1, Palo Alto 94304-1212, State of California, (hereinafter termed "Assignee"), is desirous of acquiring the entire right, title and interest in and to said application and the invention disclosed therein, and in and to all embodiments of the invention, heretofore conceived, made or discovered jointly or severally by said Inventors (all collectively hereinafter termed "said invention"), and in and to any and all patents, inventor's certificates and other forms of protection (hereinafter termed "patents") thereon granted in the United States and foreign countries.

NOW, THEREFORE, in consideration of good and valuable consideration acknowledged by said Inventors to have been received in full from said Assignee:

1. Said Inventors do hereby sell, assign, transfer and convey unto said Assignee, the entire right, title and interest (a) in and to said application and said invention; (b) in and to all rights to apply for foreign patents on said invention pursuant to the International Convention for the Protection of Industrial Property or otherwise; (c) in and to any and all applications filed and any and all patents granted on said invention in the United States or any foreign country, including each and every application filed and each and every

2. Said Inventors hereby jointly and severally covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right title and interest herein conveyed in the United States and foreign countries. Such cooperation by said Inventors shall include prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any of said applications; (c) for filing and prosecuting substitute, divisional, continuing or additional applications covering said invention; (d) for filing and prosecuting applications for reissuance of any said patents; (e) for interference or other priority proceedings involving said invention; and (f) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventors in providing such cooperation shall be paid for by said Assignee.

3. The terms and covenants of this assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventors, their respective heirs, legal representatives and assigns.

4. Said Inventors hereby jointly and severally warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF, the said Inventors have executed and delivered this instrument to said Assignee this  
(1) \_\_\_\_\_ day of \_\_\_\_\_, 19\_\_\_\_, (2) \_\_\_\_\_ day of \_\_\_\_\_, 19\_\_\_\_,  
respectively.

Date: \_\_\_\_\_

6/25/95

By \_\_\_\_\_

VERNON WONG

Date: \_\_\_\_\_

6/9/95

By \_\_\_\_\_

FRANK KOCHINKE



Rec'd in USPTO/PCT Office. Date Stamp and Return Card.

Date: Jan. 9, 2004

Serial No.: See Appendix "A"

Title: See Appendix "A"

Dkt. No. See Appendix "A"

Enclosed Are:

☐ Specification # \_\_\_\_\_, Claims # \_\_\_\_\_,  
and Abstract # \_\_\_\_\_  
☐ Drawings (\_\_\_\_\_ sheets)  
\_\_\_\_\_ Formal \_\_\_\_\_ Informal  
☐ Info. Disc. Statement  
☐ Priority Documents # \_\_\_\_\_  
☐ PTO 1449 W/References  
☐ PCT Request (# pgs. \_\_\_\_\_)  
☐ PCT Demand (# pgs. \_\_\_\_\_)  
☐ PCT Response (# pgs. \_\_\_\_\_)  
☐ PCT Amendment (# pgs. \_\_\_\_\_)

☐ Declaration, Power of Attorney  
☒ Assignment & Cover Sheet  
☐ Amendment (Final) (# pgs. \_\_\_\_\_)  
☐ Certificate of Mailing  
☐ Issue Fee Transmittal  
☐ Transmittal Letter  
☐ Extension of Time  
☒ Express Mail No. EV193717690US  
☒ 2 Original Assignments  
~~(1 for Patents & 1 for~~  
~~Patent Applications)~~

Rec'd in USPTO/PCT Office. Date Stamp and Return Card.

Date: Jan. 9, 2004

Serial No.: See Appendix "A"

Title: See Appendix "A"

Dkt. No. See Appendix "A"

Enclosed Are:

☐ Specification # \_\_\_\_\_, Claims # \_\_\_\_\_, Declaration, Power of Attorney  
and Abstract # \_\_\_\_\_ ☒ Assignment & Cover Sheet  
☐ Drawings (\_\_\_\_\_ sheets) ☐ Amendment (Final) (# pgs. \_\_\_\_\_)  
\_\_\_\_\_ Formal \_\_\_\_\_ Informal ☐ Certificate of Mailing  
☐ Info. Disc. Statement ☐ Issue Fee Transmittal  
☐ Priority Documents # \_\_\_\_\_ ☐ Transmittal Letter  
☐ PTO 1449 W/References ☐ Extension of Time  
☐ PCT Request (# pgs. \_\_\_\_\_) ☒ Express Mail No. EV193717690US  
☐ PCT Demand (# pgs. \_\_\_\_\_) ☒ 2 Original Assignments  
☐ PCT Response (# pgs. \_\_\_\_\_) ~~(1 for Patents & 1 for~~  
☐ PCT Amendment (# pgs. \_\_\_\_\_) ~~Patent Applications)~~

# RECORDATION FORM COVER SHEET PATENTS ONLY

To: The Commissioner of Patents and Trademarks,

Please record the attached original document(s) or copy(ies):

1. Submission Type:

☒ new

☐ Correction of PTO error (Reel /frame )

☐ Corrective Document (Reel /frame )

2. Conveyance Type:

☒ Assignment

☐ License

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other: \_\_\_\_\_

3.

CONVEYING PARTIES	
Names of Conveying Parties	Date of Conveyance
1. Oculex Pharmaceuticals, Inc.	December 18, 2003
2.	
3.	

☐ Additional Conveying Parties Attached

4.

RECEIVING PARTIES
Names of Receiving Parties
Name Allergan, Inc.
Address 1 2525 Dupont Drive
Address 2 Irvine, CA 92612

☐ Additional Receiving Parties Attached

☐ If document is an assignment and the Receiving Party is not domiciled in the United States, an appointment of a Domestic Representative is attached.

5.

DOMESTIC REPRESENTATIVE NAME AND ADDRESS	
Name	Martin A. Voet, Assistant Secretary
Address 1	Allergan, Inc.
Address 2	2525 Dupont Drive, Irvine, CA 92612

6.

CORRESPONDENCE NAME AND ADDRESS	
Name	Stephen Donovan (T2-7H)
Address 1	Allergan, Inc.
Address 2	2525 Dupont Drive, Irvine, CA 92612
Telephone	714-246-4026 and Fax 714-246-4249

7. Total Number of pages of the conveying document, including attachments: 3 pages

8.

APPLICATION NUMBER OR PATENT NUMBER (either; not both for same property)	
Application Number	Patent Number See attached Appendix "A"
Application Number	Patent Number

9. If this document is being filed with a NEW patent application, enter the Docket No., Title of the Invention, and date of execution of the Assignment by the first inventor:

Title of Patent Application: \_\_\_\_\_

Docket No.: \_\_\_\_\_

Date of Execution by First Inventor: \_\_\_\_\_

10. Total Number of Properties Involved: 1111. The fee amount (37 CFR §3.41) of \$440.00

☒ may be debited from our Deposit Account No. 01-0885.  
☐ is enclosed as check no. \_\_\_\_\_.

12. ☒ The Commissioner is authorized to deduct any additional fee amounts due in connection with the filing of this document from Deposit Account No. 01-0885.

To the best of my information and belief, all statements made herein are true, and any attached copy is a true copy of the original document.

Respectfully submitted,

SIGNATURE



Date: 01 / 07 / 2004

TYPED or PRINTED NAME: Stephen Donovan.

REGISTRATION NO. 33,433

## CERTIFICATE OF MAILING

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE WITH SUFFICIENT POSTAGE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: MAIL STOP ASSIGNMENT, COMMISSIONER FOR PATENTS, P. O. BOX 1450, ALEXANDRIA, VA 22313-1450 ON January 9, 2004 (Date)

Name of person making deposit: Mary Lou McNowenSignature: Date: Jan. 9 2004

## ASSIGNMENT OF ISSUED U.S. PATENTS

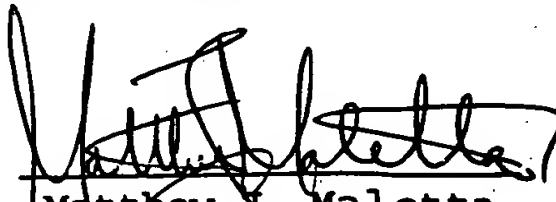
WHEREAS: ALLERGAN, INC., a Delaware corporation, having its principal place of business at 2525 Dupont Drive, Irvine, California 92612 (hereinafter referred to as ASSIGNEE), is desirous of acquiring the entire right, title and interest in, to and under certain inventions and in, to and under corresponding Letters Patent obtained in the United States.

WHEREAS: OCULEX PHARMACEUTICALS, INC., a California corporation, having its principal place of business at 601 W. California Street, Sunnyvale, CA 94086 (hereinafter ASSIGNOR) owns the entire right, title and interest in, to and under all the inventions listed on the attached Appendix "A", corresponding U.S. patent applications and foreign rights directed thereto.

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that in consideration of the payment by ASSIGNEE TO ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration, ASSIGNOR hereby sells, assigns and transfers to ASSIGNEE the entire right, title and interest in, to and under certain inventions and corresponding United States Letters Patents set forth in Appendix "A" and any continuation, divisional, renewal, substitute or reissue thereof for the full term or terms for which the same may be granted; said sale, transfer and assignment effective November 20, 2003. ASSIGNOR further sells, transfers and assigns to ASSIGNEE the right to recover past damages for infringing one or more of such patents, such damages having accrued while one or more of such patents was owned by ASSIGNOR; said sale, transfer and assignment effective November 20, 2003.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal  
this 18 day of December 2003.

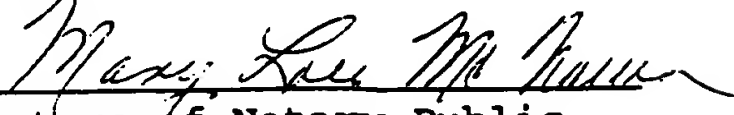
OCULEX PHARMACEUTICALS, INC.

By:   
Matthew J. Maletta  
Assistant Secretary

State of CALIFORNIA )  
( ss.  
County of ORANGE )

On December 18, 2003, before me, Mary Lou McNown,  
notary public, personally appeared MATTHEW J. MALETTA  
personally known to me to be the person whose name is  
subscribed to the within instrument and acknowledged to me  
that he executed the same in his authorized capacity, and  
that by his signature on the instrument the person, or the  
entity upon behalf of which the person acted, executed the  
instrument.

WITNESS my hand and official seal.

  
Signature of Notary Public



# APPENDIX "A"

<u>PATENT NUMBER</u>	<u>INVENTORS</u>	<u>ALLERGAN NO.</u>
4,853,224	Vernon G. Wong	17648
4,997,652	Vernon G. Wong	17648-CIP
5,164,188	Vernon G. Wong	17649
5,443,505	Vernon G. Wong and Frank Kochinke	17650
5,766,242	Vernon G. Wong and Frank Kochinke	17650-CON2
5,824,072	Vernon G. Wong	17650-CON3
5,632,984	Vernon G. Wong; King Y. Lee and Jerry B. Gin	17651
5,869,079	Vernon G. Wong; Frank Kochinke	17652
6,331,313	Vernon G. Wong; Mae W. L. Hu; Donald E. Berger, Jr.	17653
6,369,116	Vernon G. Wong; and Lin Peng	17654 — ✓ 17652-CON-CIP
5,006,310	Schirmer Tear Test	17655

5.

DOMESTIC REPRESENTATIVE NAME AND ADDRESS	
Name	Martin A. Voet, Assistant Secretary
Address 1	Allergan, Inc.
Address 2	2525 Dupont Drive, Irvine, CA 92612

6.

CORRESPONDENCE NAME AND ADDRESS	
Name	Stephen Donovan (T2-7H)
Address 1	Allergan, Inc.
Address 2	2525 Dupont Drive, Irvine, CA 92612
Telephone	714-246-4026 and Fax 714-246-4249

7. Total Number of pages of the conveying document, including attachments: 3 pages

8.

APPLICATION NUMBER OR PATENT NUMBER (either; not both for same property)	
Application Number	See attached Appendix "A"
Patent Number	
Application Number	
Patent Number	

9. If this document is being filed with a NEW patent application, enter the Docket No., Title of the Invention, and date of execution of the Assignment by the first inventor:

Title of Patent Application: \_\_\_\_\_  
Docket No.: \_\_\_\_\_  
Date of Execution by First Inventor: \_\_\_\_\_

10. Total Number of Properties Involved: 10

11. The fee amount (37 CFR §3.41) of \$400.00

☒ may be debited from our Deposit Account No. 01-0885.  
☐ is enclosed as check no. \_\_\_\_\_.

12. ☒ The Commissioner is authorized to deduct any additional fee amounts due in connection with the filing of this document from Deposit Account No. 01-0885.

To the best of my information and belief, all statements made herein are true, and any attached copy is a true copy of the original document.

Respectfully submitted,

SIGNATURE Stephen Donovan Date: 01 / 07 / 2004  
TYPED or PRINTED NAME: Stephen Donovan. REGISTRATION NO. 33,433

CERTIFICATE OF MAILING	
I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE WITH SUFFICIENT POSTAGE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: MAIL STOP ASSIGNMENT, COMMISSIONER FOR PATENTS, P. O. BOX 1450, ALEXANDRIA, VA 22313-1450 ON January <u>9</u> , 200 <u>04</u> (Date)	
Name of person making deposit: <u>Mary Lou McNown</u>	Date: <u>1/9/2004</u>
Signature: <u>Mary Lou McNown</u>	

## ASSIGNMENT

WHEREAS: ALLERGAN, INC., a Delaware corporation, having its principal place of business at 2525 Dupont Drive, Irvine, California 92612 (hereinafter referred to as ASSIGNEE), is desirous of acquiring the entire right, title and interest in, to and under certain inventions and in, to and under corresponding Letters Patent or similar legal protection to be obtained therefor in the United States and in any and all foreign countries.

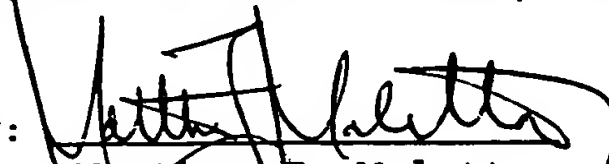
WHEREAS: OCULEX PHARMACEUTICALS, INC., a California corporation, having its principal place of business at 601 W. California Street, Sunnyvale, CA 94086 (hereinafter ASSIGNOR) owns the entire right, title and interest in, to and under certain inventions, corresponding U.S. patent applications and foreign rights directed thereto.

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that in consideration of the payment by ASSIGNEE TO ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration, ASSIGNOR hereby sells, assigns and transfers to ASSIGNEE the entire right, title and interest in, to and under certain inventions in the United States and its territorial possessions and in all foreign countries to all Letters Patents or similar legal protection in the United States and its territorial possessions and in any and all foreign countries to be obtained for certain inventions by certain applications set forth in Appendix "A" and any continuation, divisional, renewal, substitute or reissue thereof for the full term or terms for which the same may be granted; said sale, transfer and assignment effective November 20, 2003.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this 20th day of ~~November~~ <sup>DECEMBER</sup> 2003.

OCULEX PHARMACEUTICALS, INC.

By:

  
Matthew J. Maletta  
Assistant Secretary

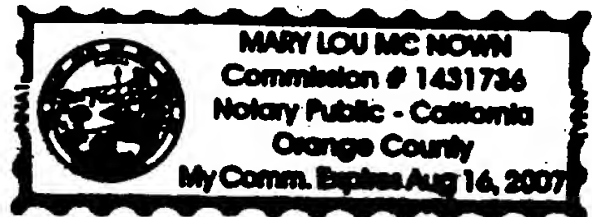
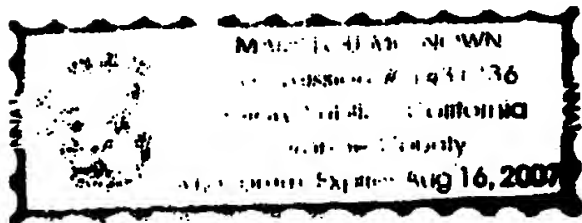


State of CALIFORNIA )  
( ss.  
County of ORANGE )

On December 19, 2003, before me, Mary Lou McNown, notary public, personally appeared MATTHEW J. MALETTA personally known to me to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Mary Lou McNown  
Signature of Notary Public



# APPENDIX "A"

<u>SERIAL NUMBER</u>	<u>TITLE</u>	<u>ALLERGAN NO.</u>
09/160,635	Formulation for Controlled Release of Drugs by Combining Hydrophilic and Hydrophobic Agents	17652-CON
10/327,018	Improved Formulation for Controlled Release of Drugs by Combining Hydrophilic and Hydrophobic Agents	17652-CON2
09/693,008	Methods for Treating Inflammation-Mediated Conditions of the Eye	17656
10/671,816	Methods for Treating Inflammation-Mediated Conditions of the Eye	17656-CON
09/997,094	Methods for Reducing or Preventing Transplant Rejection in the Eye and Intraocular Implants for Use Therefor	17657
10/246,884	Methods and Apparatus for Delivery of Ocular Implants	17658
10/666,872	Methods and Apparatus for Delivery of Ocular Implants	17658-CIP
10/340,237	Biodegradable Ocular Implant	17659
60/486,690	Method and Apparatus for Delivery of Ocular Implants	17663-PROV
60/495,570	Method and Apparatus for Delivery of Ocular Implants	17663-PROV2

Label 11-F: June 2002

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Date In JAN. 8, 2004	Postage \$ 1.35	Military <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Return Receipt Fee \$	COD Fee	Insurance Fee	Time Mo. Day Time		Employee Signature				
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No Delivery <input type="checkbox"/> Weekend <input type="checkbox"/> Holiday	CUSTOMER USE ONLY (METHOD OF PAYMENT) Express Mail Corporate Acct. No. X927981						Time Mo. Day Time		Employee Signature			
FROM: (PLEASE PRINT) ALLERGAN 2525 DUPONT DR PO BOX 19534 IRVINE CA 92623-9534 ATTN: M. L. McNown T2-7H RE: Assignment to OCU						TO: (PLEASE PRINT) Mail Stop "ASSIGNMENT" Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 1/20/04						

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